

ELIGIBILITY CRITERIA AND CHARACTERISTICS OF EPSCoR AND EPSCoR-LIKE PROGRAMS AT US DEPARTMENTS AND AGENCIES

Last Updated: 8/24/06

DEPT. / AGENCY	PROGRAM NAME	ELIGIBILITY CRITERIA / OTHER REQUIREMENTS	TYPE OF AWARD or SERVICE	MATCHING FUNDS REQUIRED (NonFederal: Agency)	PROCEDURE FOR AWARDING FUNDS OR REQUESTING SERVICE	PROGRAM HOMEPAGE MOST RECENT RFP MOST RECENT DEADLINE	POINT OF CONTACT
<p>National Science Foundation (NSF)</p>	<p>EPSCoR (Experimental Program to Stimulate Competitive Research)</p>	<p>NSF criteria</p> <p>States that have historically received lesser amounts of Federal R&D funding and have demonstrated a commitment to develop their research bases by improving the quality of science and engineering research conducted at their universities and colleges</p> <p>Research Infrastructure Improvement (RII) competition is restricted to those states that received 0.7 percent or less of the total NSF research funds to all sources within a State, averaged over the three-year period preceding the competition</p> <p>Non-EPSCoR jurisdictions eligible for planning grants have "% of Total \$" of 0.75% and below</p> <p>Statewide Governing Committee must be formed and prepare state plan approved by NSF</p>	<p><u>RESEARCH INFRASTRUCTURE IMPROVEMENT (RII) GRANT</u> Provides support for lasting improvements in a jurisdiction's academic research infrastructure and increased national competitiveness</p> <p>Grant runs for 36 or 48 months and provides up to \$9 million to support infrastructure improvements in a science and technology (S&T) area chosen by the applying jurisdiction's EPSCoR governing committee as being critical to future R&D competitiveness</p> <p><u>CO-FUNDING MECHANISM</u> Enables more awards to be made to researchers in EPSCoR jurisdictions from the Foundation's ongoing research, education, and special emphasis competitions, by providing partial support for those proposals that merit review places at or near the cutoff for funding by the reviewing program</p> <p>Operates internally within NSF and does not require any action on the part of the proposer</p> <p><u>EPSCoR OUTREACH</u> Provides financial support for outreach visits by NSF staff to acquaint researchers in the EPSCoR jurisdictions with NSF priorities, programs, and policies. EPSCoR</p> <p>Also serves to acquaint NSF staff more fully with the facilities, research activities, and investigator expertise/potential within the EPSCoR jurisdictions</p>	<p>Planning grant: none</p> <p>RII grant: none</p> <p>Co-funding: none</p> <p>Outreach: none</p>	<p><u>Planning grant:</u> - Personal contact between EPSCoR Head and state representatives, leading to invitation to submit planning grant proposal - Proposal submitted by Statewide Planning Committee</p> <p>Separate technical review? Yes Special consideration re: funding? Yes</p> <p><u>RII:</u> - Statewide Governing Committee submits proposal in response to current RII RFP</p> <p>Separate technical review? Yes Special consideration re: funding? Yes</p> <p><u>Co-funding:</u> - PI submits proposal in response to any NSF funding opportunity - NSF program director and EPSCoR program director select projects</p> <p>Separate technical review? No Special consideration re: funding? Yes</p> <p><u>EPSCoR Outreach:</u> -Visit can be initiated by EPSCoR representative or person within EPSCoR jurisdiction</p> <p>(See website for details re: procedures and responsibilities)</p> <p>No technical review required</p>	<p>Homepage: www.nsf.gov/div/index.jsp?org=EPSCOR</p> <p>RII RFP: http://www.nsf.gov/pubs/2006/nsf06583/nsf06583.htm</p> <p>Application: October 6, 2006</p> <div style="border: 1px solid black; border-radius: 15px; padding: 10px; background-color: #e0f7fa; text-align: center;"> <p>NH has an active Planning Grant</p> <p>The proposal NH submitted to the 2005 RII competition was declined. A revised proposal will be submitted in 2006.</p> </div>	<p>Head, Office of EPSCoR Dr. Sherry Farwell</p> <p>(703) 292-8683 sfarwell@nsf.gov</p> <p>Program Manager for NH Dr. James Gosz</p> <p>(703) 292-4965 jgosz@nsf.gov</p>

NH is an NSF EPSCoR jurisdiction as of August, 2004

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<p>Dept. of Defense (DOD)</p> <p>Army Research Office (ARO)</p> <p>Office of Naval Research (ONR)</p> <p>Air Force Office of Scientific Research (AFOSR)</p>	<p>DEPSCoR</p>	<p>NSF criteria + DOD matrix</p> <p>State must be listed as eligible in current BAA</p> <p>University must grant degrees in science, mathematics and/or engineering</p> <p>NSF-approved Statewide Governing Committee required</p>	<p><u>RESEARCH PROJECT AWARD</u></p> <p>Number and size indicated in BAA</p> <p>For FY05:</p> <ul style="list-style-type: none"> - Up to 5 proposals - Each proposal for ≥ \$350,000 	<p>1:2</p>	<ul style="list-style-type: none"> - PIs submit preproposals in August response to a request by Statewide Governing Committee issued in July - Statewide Governing Committee selects up to 5 investigators' pre proposals for development into full proposals to submit to agency - Statewide Governing Committee submits proposal package (10-page executive summary plus proposals) to agency <p>Separate technical review? Yes Special consideration re: funding? Yes</p>	<p>Homepage: None</p> <p>ONR DEPSCoR: http://www.onr.navy.mil/sci_tech/3t/corporate/depscor.asp</p> <p>BAA: http://www.onr.navy.mil/sci_tech/3t/corporate/depscor.asp</p> <p>NH Preproposals: September 5, 2006 Applications: October 31, 2006</p> <p>(BAA usually published late Aug/early Sept)</p>	<p>Kurt Preston DEPSCoR/DURIP Army Research Office</p> <p>(919) 549-4234 prestonkt@arl.army.mil</p> <p>At ONR: (703) 696-4111 363_DEPSCOR@onr.navy.mil</p>
<p>Office of the Secy. of Defense</p>	<p>DTIC University Research Support (URS) Program</p>	<p>Status as DEPSCoR state required to receive services</p>	<p>Defense Technical Information Center (DTIC) provides unclassified/unlimited scientific and technical information and services at no cost</p>	<p>N/A</p>	<p>Contact the Program Manager to request access and/or assistance</p>	<p>Homepage: www.dtic.mil/dtic/prodsrv/urs.html</p>	<p>University Research Support Program ODDR&E (Research) 3040 Defense Pentagon Washington, DC 20301-3080</p> <p>(703) 696-0378</p>
<p>Environmental Protection Agency (EPA)</p>	<p>EPSCoR</p>	<p>NSF criteria</p>	<p><u>RESEARCH PROJECT AWARD</u></p> <p>Funds high quality research while allowing investigators to gain experience in the competitive grants process and to become familiar with the EPA STAR program</p> <p>Funds proposals from EPSCoR states that:</p> <ul style="list-style-type: none"> - are in response to EPA STAR solicitations - pass peer review and - fall near the cutoff for funding by the reviewing program 	<p>None</p> <p>(Unless required by EPA STAR solicitation)</p>	<ul style="list-style-type: none"> - PI submits proposal in response to any EPA STAR solicitation - Project officer and EPSCoR program director select projects <p>Separate technical review? No Special consideration re: funding? Yes</p>	<p>Homepage: es.epa.gov/ncer/other/index.html</p> <p>EPA STAR Solicitations: es.epa.gov/ncer/rfa/</p>	<p>National Center for Environmental Research(NCER) Director: Gary J. Foley</p> <p>919-541-0711 foley.gary@epa.gov</p> <p>For technical information: NCER project officer indicated in solicitation</p>

NH is eligible for DEPSCoR

NH is eligible for DTIC Assistance

NH is eligible for EPA EPSCoR

In 2004, NH submitted 5 proposals and received 2 awards

In 2005, NH submitted 4 proposals and received 1 award

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			Mechanism operates internally within EPA and does not require any action on the part of the applicant				
<p>National Institutes of Health (NIH)</p> <div data-bbox="110 670 397 1027" style="border: 1px solid black; border-radius: 15px; padding: 5px; background-color: #ffffcc;"> <p>All NH institutions are eligible EXCEPT</p> <ul style="list-style-type: none"> - Dartmouth College School of Arts and Sciences - Dartmouth College School of Medicine - University of New Hampshire (FY2006) </div> <div data-bbox="129 1268 397 1386" style="border: 1px solid black; border-radius: 15px; padding: 5px; background-color: #ffffcc;"> <p>NH is eligible for IDeA programs</p> </div>	<p>AREA (Academic Research Enhancement Award)</p> <p>IDeA (Institutional Development Award)</p>	<p>NIH criteria</p> <p>Supports individual research projects in the biomedical and behavioral sciences conducted by faculty, and involving their undergraduate students, who are located in "smaller, less prominent, four-year, public and private colleges and universities which provide undergraduate training for a significant number of our nation's research scientists but which have not shared adequately in the growth of the NIH extramural program"</p> <p><u>Institution</u> received less than \$3 million per year (in direct and facilities & administrative costs) in at least 4 of the last 7 years</p> <p>List of ineligible institutions found at AREA homepage http://grants2.nih.gov/grants/funding/area_ineligible.xls</p> <p>NIH criteria</p> <p><u>States</u> that had, from 1999-2003:</p> <ul style="list-style-type: none"> - less than 20% success rate OR - less than \$100 million average NIH funding <p>Proposing <u>institution</u> holds two or fewer active COBRE awards</p> <p>INBRE open only to institutions holding an active BRIN award</p>	<p>AREA GRANT (R15):</p> <ul style="list-style-type: none"> - New research projects (type 1) - Continuing research projects (renewal; competing continuation; type 2) <p>Encourages inclusion of undergraduates in research</p> <p>≤ \$150,000 (total) for up to 3 years</p> <p>(See program announcement for specific project requirements)</p> <p>CENTERS OF BIOMEDICAL RESEARCH EXCELLENCE (COBRE) (P20):</p> <p>Augment and strengthen institutional biomedical research capabilities by expanding and developing biomedical faculty research capability through support of a multidisciplinary center, led by a peer-reviewed, NIH-funded investigator with expertise central to the grant proposal</p> <p>BIOMEDICAL RESEARCH INFRASTRUCTURE NETWORKS (BRIN)</p> <p>IdeA NETWORKS FOR BIOMEDICAL RESEARCH NETWORKS (INBRE)</p>	<p>None</p> <p>None</p>	<p>- PI submits proposal in response to program announcement</p> <p>Separate technical review? No Special consideration re: funding? Yes</p> <p>- PI submits proposal in response to RFA</p> <p>Separate technical review? Yes Special consideration re: funding? Yes</p> <p>- PI submits proposal in response to RFA</p> <p>Separate technical review? Yes</p>	<p>Homepage: grants2.nih.gov/grants/funding/area.htm</p> <p>Program Announcement: grants.nih.gov/grants/guide/pa-files/PA-06-042.html</p> <p>Deadlines: Feb 25, Jun 25, Oct 25, annually</p> <div data-bbox="1790 695 2228 862" style="border: 1px solid black; border-radius: 15px; padding: 10px; background-color: #e0ffff;"> <p>Since the program's start in 1972, NH has received 49 AREA awards</p> </div> <p>Homepage: www.ncrr.nih.gov/resinfra/ri_idap.asp</p> <p>COBRE: http://www.ncrr.nih.gov/resinfra/cobre.asp</p> <p>INBRE: http://www.ncrr.nih.gov/resinfra/inbre.asp</p>	<p>Linda Stecklein NIH Area Coordinator Office of Extramural Research</p> <p>(301) 402-7989 stecklel@od.nih.gov</p> <p>Sidney McNairy, Ph.D. Director, Research Infrastructure Division of Research Infrastructure National Center for Research Resources</p> <p>(301) 435-0788 McNairyS@mail.nih.gov</p> <p>COBRE: Dr. Lawrence N. Yager (301) 435-0760 yagerL@mail.nih.gov</p>

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			Enhance the research capacity of institutions in developing areas of potential research through staff development and access to research resources through collaborative partnerships		Special consideration re: funding? Yes	<p>Since the program's start in 2000, NH has received 2 COBRE awards (plus renewals) and 1 BRIN/INBRE award</p>	
US Dept. of Agriculture (USDA)	USDA-EPSCoR AREA (Agriculture Research Enhancement Award) Strengthening Awards	USDA criteria States that have a funding level from the NRI no higher than the 38th percentile of all States, based on total funding for the previous three-year period [See Part II, C., 2 (c) of current RFA]	<u>NATIONAL RESEARCH INITIATIVE (NRI):</u> - RESEARCH CAREER ENHANCEMENT AWARDS (SABBATICAL AWARDS) - EQUIPMENT GRANTS - SEED GRANTS (See RFP for specific limits and requirements for each type of award)	Equipment Grants: 1:1 All others: none	- PI submits proposal to appropriate research program area in response to RFP - PI checks appropriate box on standard project summary (Form CSREES-2003) Separate technical review? No Special consideration re: funding? Yes	Homepage: www.csrees.usda.gov/fo/fundview.cfm?fonum=1112 RFP: www.csrees.usda.gov/funding/rfas/nri_rfa.html	National Program Leader for the relevant research program area (Provided in RFP)
<p>NH is NOT a USDA EPSCoR state for FY 2006 through FY 2008 Eligibility to apply to these programs varies for the individual institutions within NH. (See table below for details)</p>							
US Dept. of Energy (DOE)	EPSCoR	NSF criteria State must be listed as eligible in current RFP NSF-approved Statewide Governing Committee required State must have an active NSF Research Infrastructure Improvement award 1 active RIA/state PI cannot be receiving other DOE or DOE EPSCoR funds to apply for Partnership award	<u>RESEARCH IMPLEMENTATION AWARD (RIA)</u> Provides funding for basic research, its coordination, and development of human resources in the state of key science and technology areas identified by the state's EPSCoR committee Priority given to applications that propose to develop new competitive research areas - 1 proposal allowed in FY05 - Proposal for ≤ \$750,000/yr from DOE for a period of 3 years - Each application restricted to 1 research "cluster" (group of scientists working on a common scientific theme) <u>STATE-DOE LABORATORY PARTNERSHIP AWARD</u> Initiate and promote partnering and collaborative relationships that build	RIA: Minimum 50% Partnership: Minimum 10% of the total budget	RIA: - PIs submit proposals to Statewide Governing Committee for consideration - Statewide Governing Committee selects the proposal to submit to agency - Statewide Governing Committee submits proposal to agency Separate technical review? Yes Special consideration re: funding? Yes Partnership: - PIs submit proposals to Statewide Governing Committee for consideration - Statewide Governing Committee selects PI to submit proposal	Homepage: www.science.doe.gov/EPSCoR/INDEX.HTM RFPs: www.science.doe.gov/EPSCoR/APPL1.HTM RIA: https://e-center.doe.gov/ips/faopor.nsf/UNID/8708CC4DB22E147F8525714000478E03/\$file/FOA_Notice_06-14.pdf Application: September 27, 2006 (Published March 29, 2006) Partnership: www.science.doe.gov/grants/FAPN05-04.html Preapplication: April 12, 2005 Invited Application: July 6, 2005	Dr. Kristin A. Bennett Program Manager, DOE-EPSCoR (301) 903-4269 Kristin.Bennett @science.doe.gov
<p>NH is eligible for DOE EPSCoR</p>							

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(cont.)			<p>beneficial energy-related research programs with strong participation by undergraduate and graduate students, postdoctoral fellows, and young faculty from EPSCoR states</p> <p>Investigators encouraged to spend a summer or significant time during the academic year at a National Laboratory, facility, or center</p> <p>Also encouraged that collaborating scientists from the National Laboratories visit collaborating EPSCoR state faculty for exchange of scientific ideas and fostering active collaboration</p> <p>Proposal for ≤ \$150,000/yr from DOE for up to three years</p>		<p>- PI submits preapplication to agency: - 1-2 page narrative - Letter of Intent from the national laboratory researcher confirming willingness to collaborate - Letter of Endorsement from the state EPSCoR coordinator</p> <p>- If preapplication successful, PI submits application to agency</p> <p>Separate technical review? Yes Special consideration re: funding? Yes</p>	(Published October 18, 2004)	
<p>National Aeronautics and Space Administration (NASA)</p> <div style="border: 1px solid black; border-radius: 15px; background-color: yellow; padding: 10px; width: fit-content; margin: 10px auto;"> <p>NH was NOT eligible to apply in FY 2000;</p> <p>Future eligibility TBD</p> </div>	<p>NASA EPSCoR</p>	<p>NASA program and criteria currently are under review; list of jurisdictions may change.</p> <p><i>PREVIOUS CRITERIA:</i></p> <p>National Science Foundation (NSF) EPSCoR States (including Puerto Rico) as of 1993 or any State participating in NASA's National Space Grant College and Fellowship Program Capability Enhancement Grant program</p> <p><u>Connections between the NASA Space Grant and NASA EPSCoR Programs:</u></p> <p>Cooperative Agreement awarded to and administered by the State's NASA EPSCoR Director, who also serves as the State Space Grant Director</p> <p>Award made to the institution of the Space Grant/ NASA EPSCoR Director</p> <p>NASA Space Grant/NASA EPSCoR</p>	<p><u>RESEARCH PROJECTS + CORE FUNDING</u></p> <p><i>Goals:</i></p> <p>i) Strengthen research base of the EPSCoR states' academic institutions ii) Transfer developed technology to industry iii) Increase economic development of the participating states iv) Communicate benefits of research to the public</p> <p>- 1 proposal package per state</p> <p>- Package must include - proposals for ≤ 3 research areas, all of which must be drawn from the NASA EPSCoR Research Compendium - \$125,000/yr designated as Core Funding for Research Infrastructure Development</p> <p>- Annual funding request for each research proposal must be the same for each of the 3 years</p> <p>- Proposal package (< 3 proposals + core</p>	1:1	<p>- PIs submit proposals to Space Grant/ NASA EPSCoR Director for consideration</p> <p>- Space Grant/ NASA EPSCoR Director selects the 3 investigators' proposals to submit to agency</p> <p>- Proposal package submitted to agency by Space Grant/ NASA EPSCoR Director</p> <p>Separate technical review? Yes Special consideration re: funding? Yes</p>	<p>Descriptions: http://www.edu.ssc.nasa.gov/highered.asp http://www.nasa.gov/audience/foreducators/po-stsecondary/grants/EPSCoR.html</p> <p>Space Grant Homepage: http://www.nasa.gov/audience/foreducators/po-stsecondary/grants/Space_Grant.html</p> <p>Program Announcement: http://calspace.ucsd.edu/epscor/announ/EPSCoR2K.pdf Letter of Intent: October 2, 2000. Application: December 8, 2000</p>	<p>Space Grant National Office</p> <p>Dr. E. Julius Dasch Program Manager NASA Headquarters</p> <p>(202) 358-1523 jdasch@hq.nasa.gov</p>

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(cont.)		<p><i>Director must therefore manage the State NASA EPSCoR program</i></p> <p><u>Technical Advisory Committee (TAC) required:</u> <i>Provides assistance, consultation, evaluation, and advice to the State NASA EPSCoR Director</i></p> <p><i>Purposes of TAC:</i></p> <ul style="list-style-type: none"> <i>i) advise on State research and economic priorities</i> <i>ii) assist in planning research areas that align with State priorities</i> <i>iii) provide technical guidance to the State NASA EPSCoR program</i> <i>iv) review program progress and accomplishments</i> <p><i>TAC must consist of at least 8 eight members:</i></p> <ul style="list-style-type: none"> <i>• the State NASA EPSCoR Program Director (Committee Chair)</i> <i>• at least 1 member of the State EPSCoR Committee (except for Connecticut)</i> <i>• at least 1 representative from State industry</i> <i>• at least 1 representative from State government</i> <i>• 1 member each from at least 3 affiliates of the State NASA Space Grant Program</i> 	<p><i>funding) for ≤ \$700,000/yr for 3 years (Maximum total: \$2.1 million)</i></p> <p><i>Following a successful, comprehensive third-year evaluation of the State NASA EPSCoR program, States may submit proposals for 2-yr continuation</i></p>				
DISCONTINUED PROGRAMS							
Dept. of Commerce (DOC)	EPSCoT (Experimental Program to Stimulate Competitive Technology)	<p>DOC criteria</p> <p>Less-populated States</p> <p>State-wide technology plan required</p>	<p><u>PROJECT GRANT</u></p> <p>Provides support for development, deployment, and diffusion of technology and to "strengthen the technological competitiveness of those states that historically received less Federal research and development funds than those received by a majority of states.</p>	<p>Single-state proposals: 1:1</p> <p>Multi-state proposals: 1:3</p>		<p>18 grants awarded in 1998 and 1999</p> <p>Program discontinued after evaluation by Office of Technology Policy</p> <p>Summary of 2001 EPSCoT Evaluation Report: www.technology.gov/reports/TechPolicy/epscot4sbd.pdf</p>	

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Eligibility of New Hampshire Institutions for USDA-CSREES National Research Initiative Grants, FY 2006 – FY 2008

FY 2006 NRI RFP: http://www.csrees.usda.gov/funding/rfas/nri_rfa.html

<i>Type of USDA-CSREES Award</i>	<i>Eligibility Criteria</i>	<i>Applicable 2006 RFP Text</i>	<i>2006 RFP Table/ Figure</i>	<i>UNH Eligible?</i>	<i>Dartmouth Eligible?</i>	<i>Other NH Institutions Eligible?</i>
<i>National Research Initiative Standard Research Grants</i>	<i>All institutions and citizens in New Hampshire</i>			Yes	Yes	Yes
<i>National Research Initiative Conference Grants</i>	<i>All institutions and citizens in New Hampshire</i>			Yes	Yes	Yes
<i>AREA Awards</i>						
<i>Postdoctoral Fellowship</i>	<i>All new PhDs that are US citizens</i>	<i>Part II, C., 2(b) (p.14)</i>		Yes	Yes	Yes
<i>New Investigator Awards</i>	<i>New faculty (see the RFP for specifics on what defines "new")</i>	<i>Part II, C., 2(b) (p.15.)</i>		Yes	Yes	Yes
<i>Strengthening Awards</i>						
<i>Research Career Enhancement Awards (Sabbatical Awards)</i>	<i>USDA-EPSCoR Jurisdictions OR size (current year enrollment as federally reported <15,000) and not among most successful institutions</i>	<i>Part II, C., 2(c) (p.17)</i>	<i>Table 1 p.124</i>	No	No	<i>Maybe - based on size</i>
<i>Equipment Grants</i>	<i>Not among most successful institutions</i>	<i>Part II, C., 2(c) and Part III, A (p.18)</i>	<i>Table 1 p.124</i>	Yes	No	Yes
<i>Seed Grants</i>	<i>USDA-EPSCoR Jurisdictions OR size (current year enrollment as federally reported <15,000) and not among most successful institutions</i>	<i>Part II, C., 2(c) (p.18)</i>	<i>Table 1 p.124</i>	No	No	<i>Maybe - based on size</i>
<i>Strengthening Standard Research Project Awards</i>	<i>USDA-EPSCoR Jurisdictions OR size (current year enrollment as federally reported <15,000) and not among most successful institutions</i>	<i>Part II, C., 2(c) (p.19)</i>	<i>Figure 1 (flow chart) p.135 and Table 1 p.124</i>	No	No	<i>Maybe - based on size</i>
<i>Integrated Projects</i>						
<i>Integrated Project Grants</i>	<i>All institutions and citizens in New Hampshire</i>	<i>Part II, C., 3(a) (p.19)</i>		Yes	Yes	Yes
<i>Bridge Grants</i>	<i>Size (current year enrollment as federally reported <15,000) or minority serving and not among most successful institutions</i>	<i>Part II, C., 3(b) (p.21)</i>	<i>Table 3 p.131</i>	No	No	<i>Maybe - based on size</i>