

## Compliance Information Sheet Red Flag Information

Topic Area	Key Words	Examples	Companies/Organizations from which Materials May be Ordered
<b>Infectious Materials</b>	Infectious Virus Bacteria Toxin BSL-2 or BSL-3 organism	<i>Staphylococcus</i> species <i>Streptococcus</i> species <i>Bacillus</i> species Influenza species Polio species Hepatitis species Human blood, body fluid, tissue, or organs E.coli O151:H7	APHIS ATTC BEI Resources CDC CDC Fort Collins NCIMB NIH USDA
<b>Recombinant DNA</b>	Host-organism Plasmids Vectors Recombinant (e.g., kit)		APHIS ATTC BEI Resources CDC CDC Fort Collins NCIMB NIH USDA
<b>Chemicals</b>	Tetratogenic Carcinogenic Flammable Combustible DEA controlled substance Compressed gas	Organic peroxides Vinyl chloride Cyanogen Ammonia Fluorine Hydrogen Cyanide	<a href="http://www.unh.edu/cems/Chemical_Order.htm">http://www.unh.edu/cems/Chemical_Order.htm</a>
<b>Radioactive Materials</b>	Sealed source Isotope Radioisotope	Am-241 Am-241/Be Ba-133 Bi-207 C-14 Cf-252 Cl-36 Cm-244 Co-57 Co-60 Cs-137 Fe-55 H-3	GE Healthcare MPB NAS (North American Scientific) Perkin Elmer BP Biomedicals

		<p>I-125  I-129  Na-22  Nat. Th  Nat. U  P-32  Pb-210  Ra-226  S-35  Sr-90  Tc-99  Th-228  Th-230  U-238</p>	
<b>Lasers</b>	<p>Laser  Class I (with an embedded  Class IV)  Class IIIA, IIIB or IV</p>		<p>Coherent  Spectra Physics  Melles Griot  Insight Technologies  Laserglow  Newport Corporation  IPG Photonics</p>
<b>Human Subjects</b>	<p>Research subject  Interview  Survey  Focus group  Blood draw  Study incentive/compensation  (Secondary) data set</p>	<ul style="list-style-type: none"> <li>• Purchasing gift cards as incentives for participation in a research study</li> <li>• Ordering blood drawing supplies for a research study</li> <li>• Purchasing standardized tests/measuring instruments/inventories to administer to research subjects</li> <li>• Paying for subscription to a Web hosting services to administer Web-based surveys as part of a research study</li> <li>• Request for petty cash to pay research subjects</li> <li>• Purchasing datasets derived from human subjects (e.g., The National Longitudinal Survey of Youth)</li> </ul>	
<b>Vertebrate Animals</b>	<p>Vertebrate animal</p>	<p>Mice  Rats  Hamsters  Rabbits  Birds  Cows  Horses  Pigs  Fish  Reptiles  Amphibians</p>	<p>Charles River Laboratories  Harlan Sprague Dawley, Inc.  The Jackson Laboratory  GreatBay Aquaculture  Charles D Sullivan Company  Rana Ranch Bullfrog Farm</p>

<b>Licensing Agreements, Non-disclosure/Confidentiality agreements, or Material Transfer Agreements</b>	Licensing Non-Disclosure Agreement (NDA) Confidentiality Agreement Material Transfer Agreement (MTA) Patent Copyright Trademark	<ul style="list-style-type: none"> <li>Shipping/receiving biological specimens under specific terms (i.e., not ordering from a catalogue or commercial vendor)</li> <li>Securing protection for intellectual property prior to engaging a commercial partner</li> <li>Disclosing inventions developed in the course of university employment/educational activities</li> </ul>	
<b>Other Agreements</b>	Contract Terms and conditions Agreement Teaming Agreement Intergovernmental Personnel Agreement (IPA)	<ul style="list-style-type: none"> <li>Agreements for the use of datasets (e.g., restricted social science databases)</li> <li>Agreements for sponsored research or projects</li> <li>Contracts for services</li> <li>Confidentiality agreements</li> </ul>	
<b>Receipt of Royalties or License Fees</b>	License Royalty License/Software Fee UNH Technology/Software Intellectual Property ORPC	<ul style="list-style-type: none"> <li>Royalties or License fees for the use of UNH technology/software (e.g., Project54, UNHCEMS, CUBE, GeoCoder, or plant varieties)</li> <li>References to intellectual property, Office for Research Partnerships and Commercialization (ORPC), or royalties</li> </ul>	
<b>Invoices Involving License Arrangements</b>	License Subscription term/fees UNH Technology/Software Terms and Conditions Intellectual Property ORPC	<ul style="list-style-type: none"> <li>Invoices referencing UNH technology/software (e.g., Project54, UNHCEMS, CUBE, GeoCoder, or plant varieties)</li> <li>References to intellectual property (IP) or IP terms/conditions</li> </ul>	
<b>Export Controls</b>	Export control Export Control Classification Number (ECCN) Non-Disclosure Agreement (NDA) Confidentiality Agreement Foreign national International shipping Encryption Dual use Space science Weapon of mass destruction (WMD) Publication restriction Classified information	<ul style="list-style-type: none"> <li>Foreign national or publication restrictions in contracts</li> <li>Exporting research samples, shared equipment, or third party proprietary items</li> <li>Site visits to sponsor's facilities or federal research and development centers (FRDCs)</li> <li>Sharing controlled information with foreign nationals on U.S. soil</li> <li>Obtaining equipment or software modified for military use</li> <li>Obtaining classified information</li> </ul>	

<b>Dissemination of Research Results</b>	Publication restrictions Non-disclosure requirements Trade secrets Classified information Sensitive but unclassified (SBU) information	<ul style="list-style-type: none"> <li>• Restrictions or conditions on the release of information</li> <li>• Requirements that research results be held in confidence</li> <li>• Prior approval required for publication or presentation of information</li> </ul>	
<b>Travel to/ Transactions with Embargoed Countries</b>	Office of Foreign Assets Control (OFAC) sanctions OFAC sanctioned countries (current list at <a href="http://www.ustreas.gov/offices/enforcement/ofac/programs/">http://www.ustreas.gov/offices/enforcement/ofac/programs/</a> ) Sanctions Embargoes Boycotts Restricted parties	<ul style="list-style-type: none"> <li>• Wire transfers to any sanctioned country</li> <li>• Performing services for any sanctioned country</li> <li>• Travel to any sanctioned country</li> <li>• Shipping goods to any sanctioned country</li> </ul>	